

05-25-01

A



UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: I 69.12-0485 Date: May 23, 2001

First Named Inventor: Shanlin Hao

Title: HEAD PERFORMANCE BASED NANO-MACHINING
PROCESS CONTROL FOR STRIPE FORMING OF ADVANCED
SLIDERS

Express Mail No.: EL324060996US



APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status

3. Specification Total Pages [20]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. Drawings (35 U.S.C. 113) Total Sheets [5]

5. Oath or Declaration Total Pages [3]

- a. Newly Executed (original or copy)
- b. Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)
 - [Mark Box 5 below]
 - i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76.

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

- a. Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies; or
 - ii. Paper
- c. Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. 3.73(b) Submission

11. Power of Attorney

12. English Translation Document (if applicable)

13. Information Disclosure Statement with copies of Citations as necessary

14. Preliminary Amendment Total Pages []

15. Return Receipt Postcard (Should be specifically itemized)

16. Certified Copy of Priority document(s) (If foreign priority is claimed)

17. Request and Certification under 35 U.S.C.122(b)(2)(B)(i). (Nonpublication Request)

18. Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Division Continuation-in-part (CIP) of prior Application No. _____

Prior Application Information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	Alana T. Bergman 47,420	SIGNATURE:
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002	
TELEPHONE	(612) 339-1863	FAX: (612) 339-6580

FEE TRANSMISSION

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ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td>*</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td>*</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td>*</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination</td> <td>*</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td>*</td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td>*</td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td>*</td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td>*</td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td>Extension for reply within fifth month</td> <td>*</td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td>*</td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td>*</td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td>*</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td>*</td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>Petition to revive - unintentional</td> <td>*</td> </tr> <tr> <td>142</td> <td>1,270</td> <td>242</td> <td>650</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td>*</td> </tr> <tr> <td>143</td> <td>440</td> <td>243</td> <td>220</td> <td>Design issue fee (inc. advance copies)</td> <td>*</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td>*</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td>*</td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td>*</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td>179</td> <td>710</td> <td>279</td> <td>355</td> <td>Request for Continued Examination (RCE)</td> <td>*</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="2">Subtotal (3) \$40.00</td> </tr> <tr> <td colspan="2">Subtotal (1) \$710.00</td> <td colspan="4">Subtotal (2) \$0.00</td> </tr> 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fifth month	*	120	310	220	155	Filing a brief in support of an appeal	*	121	270	221	135	Request for oral hearing	*	148	110	248	55	Terminal Disclaimer Fee	*	140	110	240	55	Petition to revive - unavoidable	*	141	1,240	241	620	Petition to revive - unintentional	*	142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*	143	440	243	220	Design issue fee (inc. advance copies)	*	122	130	122	130	Petitions to the Commissioner	*	123	50	123	50	Petitions related to provisional applications	*	126	180	126	180	Submission of Information Disclosure Statement	*	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	179	710	279	355	Request for Continued Examination (RCE)	*	Other fee (specify) _____				Subtotal (3) \$40.00		Subtotal (1) \$710.00		Subtotal (2) \$0.00				Subtotal (4) \$710.00		Subtotal (5) \$40.00				Subtotal (6) \$750.00		Subtotal (7) \$40.00				Subtotal (8) \$750.00		Subtotal (9) \$40.00				Subtotal (10) \$750.00		Subtotal (11) \$40.00				Subtotal (12) \$750.00		Subtotal (13) \$40.00				Subtotal (14) \$750.00		Subtotal (15) \$40.00				Subtotal (16) \$750.00		Subtotal (17) \$40.00				Subtotal (18) \$750.00		Subtotal (19) \$40.00				Subtotal (20) \$750.00		Subtotal (21) \$40.00				Subtotal (22) \$750.00		Subtotal (23) \$40.00				Subtotal (24) \$750.00		Subtotal (25) \$40.00				Subtotal (26) \$750.00		Subtotal (27) \$40.00				Subtotal (28) \$750.00		Subtotal (29) \$40.00				Subtotal (30) \$750.00		Subtotal (31) \$40.00				Subtotal (32) \$750.00		Subtotal (33) \$40.00				Subtotal (34) \$750.00		Subtotal (35) \$40.00				Subtotal (36) \$750.00		Subtotal (37) \$40.00				Subtotal (38) \$750.00		Subtotal (39) \$40.00				Subtotal (40) \$750.00		Subtotal (41) \$40.00				Subtotal (42) \$750.00		Subtotal (43) \$40.00				Subtotal (44) \$750.00		Subtotal (45) \$40.00				Subtotal (46) \$750.00		Subtotal (47) \$40.00				Subtotal (48) \$750.00		Subtotal (49) \$40.00				Subtotal (50) \$750.00		Subtotal (51) \$40.00				Subtotal (52) \$750.00		Subtotal (53) \$40.00				Subtotal (54) \$750.00		Subtotal (55) \$40.00				Subtotal (56) \$750.00		Subtotal (57) \$40.00				Subtotal (58) \$750.00		Subtotal (59) \$40.00				Subtotal (60) \$750.00		Subtotal (61) \$40.00				Subtotal (62) \$750.00		Subtotal (63) \$40.00				Subtotal (64) \$750.00		Subtotal (65) \$40.00				Subtotal (66) \$750.00		Subtotal (67) \$40.00				Subtotal (68) \$750.00		Subtotal (69) \$40.00				Subtotal (70) \$750.00		Subtotal (71) \$40.00				Subtotal (72) \$750.00		Subtotal (73) \$40.00				Subtotal (74) \$750.00		Subtotal (75) \$40.00				Subtotal (76) \$750.00		Subtotal (77) \$40.00				Subtotal (78) \$750.00		Subtotal (79) \$40.00				Subtotal (80) \$750.00		Subtotal (81) \$40.00				Subtotal (82) \$750.00		Subtotal (83) \$40.00				Subtotal (84) \$750.00		Subtotal (85) \$40.00				Subtotal (86) \$750.00		Subtotal (87) \$40.00				Subtotal (88) \$750.00		Subtotal (89) \$40.00				Subtotal (90) \$750.00		Subtotal (91) \$40.00				Subtotal (92) \$750.00		Subtotal (93) \$40.00				Subtotal (94) \$750.00		Subtotal (95) \$40.00				Subtotal (96) \$750.00		Subtotal (97) \$40.00				Subtotal (98) \$750.00		Subtotal (99) \$40.00				Subtotal (100) \$750.00		Subtotal (101) \$40.00				Subtotal (102) \$750.00		Subtotal (103) \$40.00				Subtotal (104) \$750.00		Subtotal (105) \$40.00				Subtotal (106) \$750.00		Subtotal (107) \$40.00				Subtotal (108) \$750.00		Subtotal (109) \$40.00				Subtotal (110) \$750.00		Subtotal (111) \$40.00				Subtotal (112) \$750.00		Subtotal (113) \$40.00				Subtotal (114) \$750.00		Subtotal (115) \$40.00				Subtotal (116) \$750.00		Subtotal (117) \$40.00				Subtotal (118) \$750.00		Subtotal (119) \$40.00				Subtotal (120) \$750.00		Subtotal (121) \$40.00				Subtotal (122) \$750.00		Subtotal (123) \$40.00				Subtotal (124) \$750.00		Subtotal (125) \$40.00				Subtotal (126) \$750.00		Subtotal (127) \$40.00				Subtotal (128) \$750.00		Subtotal (129) \$40.00				Subtotal (130) \$750.00		Subtotal (131) \$40.00				Subtotal (132) \$750.00		Subtotal (133) \$40.00				Subtotal (134) \$750.00		Subtotal (135) \$40.00				Subtotal (136) \$750.00		Subtotal (137) \$40.00				Subtotal (138) \$750.00		Subtotal (139) \$40.00				Subtotal (140) \$750.00		Subtotal (141) \$40.00				Subtotal (142) \$750.00		Subtotal (143) \$40.00				Subtotal (144) \$750.00		Subtotal (145) \$40.00				Subtotal (146) \$750.00		Subtotal (147) \$40.00				Subtotal (148) \$750.00		Subtotal (149) \$40.00				Subtotal (150) \$750.00		Subtotal (151) \$40.00				Subtotal (152) \$750.00		Subtotal (153) \$40.00				Subtotal (154) \$750.00		Subtotal (155) \$40.00				Subtotal (156) \$750.00		Subtotal (157) \$40.00				Subtotal (158) \$750.00		Subtotal (159) \$40.00				Subtotal (160) \$750.00		Subtotal (161) \$40.00				Subtotal (162) \$750.00		Subtotal (163) \$40.00				Subtotal (164) \$750.00		Subtotal (165) \$40.00				Subtotal (166) \$750.00		Subtotal (167) \$40.00				Subtotal (168) \$750.00		Subtotal (169) \$40.00				Subtotal (170) \$750.00		Subtotal (171) \$40.00				Subtotal (172) \$750.00		Subtotal (173) \$40.00				Subtotal (174) \$750.00		Subtotal (175) \$40.00				Subtotal (176) \$750.00		Subtotal (177) \$40.00				Subtotal (178) \$750.00		Subtotal (179) \$40.00				Subtotal (180) \$750.00		Subtotal (181) \$40.00				Subtotal (182) \$750.00		Subtotal (183) \$40.00				Subtotal (184) \$750.00		Subtotal (185) \$40.00				Subtotal (186) \$750.00		Subtotal (187) \$40.00				Subtotal (188) \$750.00		Subtotal (189) \$40.00				Subtotal (190) \$750.00		Subtotal (191) \$40.00				Subtotal (192) \$750.00		Subtotal (193) \$40.00				Subtotal (194) \$750.00		Subtotal (195) \$40.00				Subtotal (196) \$750.00		Subtotal (197) \$40.00				Subtotal (198) \$750.00		Subtotal (199) \$40.00				Subtotal (200) \$750.00		Subtotal (201) \$40.00				Subtotal (202) \$750.00		Subtotal (203) \$40.00				Subtotal (204) \$750.00		Subtotal (205) \$40.00				Subtotal (206) \$750.00		Subtotal (207) \$40.00				Subtotal (208) \$750.00		Subtotal (209) 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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) (Nonpublication Request)	First Named Inventor	Shanlin Hao
	Title:	HEAD PERFORMANCE BASED NANO-MACHINING PROCESS CONTROL FOR STRIPE FORMING OF ADVANCED SLIDERS
	Atty Docket Number	I69.12-0485

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 23, 2001
Date

Alana Bergman
Signature

Alana T. Bergman Reg. No. 47,420

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**